

NYSE Pillar Trade Ops Portal REST API Account Request Form

Authorization

Firm acknowledges and agrees that its use of the NYSE Pillar Trade Ops Portal (TOP), available at <https://www.nyse.com/pillar/trade-ops-portal>, (the "tool") is ;(1) governed by the Terms of Use available at https://www.ice.com/publicdocs/nyse/NYSE_Online_Tools_Terms_of_Use.pdf and that it must review and accept these Terms of Use before accessing the tool; (2) the Firm must immediately notify crs@nyse.com if the TOP API account should be inactivated; and (3) Firm is responsible for any acts made via this TOP API account.

General Information:

Firm Name: _____

Business Address: _____

City: _____ State: _____ Zip: _____

Business Phone: _____ CRD #: _____

Account Information:

Please note: Firm is limited to two TOP API Accounts.

Name of Primary Contact: _____

Contact Email: _____

Contact Phone: _____

Static IP Address or Range: _____

Firm Type (Choose One):

- | | |
|---|--|
| <input type="checkbox"/> Entering Firm | By default, all MPIDs are assigned. If only specific MPIDs are required, please specify: _____ |
| <input type="checkbox"/> Floor Broker | Firm Level for Options Markets Only. By default, all identifiers included. |
| <input type="checkbox"/> Market Maker | By default, all MMIDs are assigned. If only specific MMIDs are required, please specify: _____ |
| <input type="checkbox"/> Clearing Firm
(Risk Controls not available for Options Markets) | By default, all NSCC#s are assigned. If only specific NSCC#s are required, please specify: _____ |

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Access: Please select each market and modules the above account should have access to:		
Market:	Module:	Permissions:
<input type="checkbox"/> NYSE Equities	<input type="checkbox"/> Firm Sessions <input type="checkbox"/> Firm Risk Controls	<input type="checkbox"/> Risk Info - Download Only <input type="checkbox"/> Risk Desk - Download & Configure <input type="checkbox"/> Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)
<input type="checkbox"/> NYSE Arca Equities	<input type="checkbox"/> Firm Sessions <input type="checkbox"/> Firm Risk Controls	<input type="checkbox"/> Risk Info - Download Only <input type="checkbox"/> Risk Desk - Download & Configure <input type="checkbox"/> Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)
<input type="checkbox"/> NYSE American Equities	<input type="checkbox"/> Firm Sessions <input type="checkbox"/> Firm Risk Controls	<input type="checkbox"/> Risk Info - Download Only <input type="checkbox"/> Risk Desk - Download & Configure <input type="checkbox"/> Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)
<input type="checkbox"/> NYSE National Equities	<input type="checkbox"/> Firm Sessions <input type="checkbox"/> Firm Risk Controls	<input type="checkbox"/> Risk Info - Download Only <input type="checkbox"/> Risk Desk - Download & Configure <input type="checkbox"/> Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)
<input type="checkbox"/> NYSE Texas Equities	<input type="checkbox"/> Firm Sessions <input type="checkbox"/> Firm Risk Controls	<input type="checkbox"/> Risk Info - Download Only <input type="checkbox"/> Risk Desk - Download & Configure <input type="checkbox"/> Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)

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Market:	Module:	Permissions:
<input type="checkbox"/> NYSE Arca Options	<input type="checkbox"/> Firm Trades <input type="checkbox"/> Firm Sessions <input type="checkbox"/> Firm Risk Controls	<input type="checkbox"/> Download Only <input type="checkbox"/> Manage Trades <input type="checkbox"/> Risk Info - Download Only <input type="checkbox"/> Risk Desk - Download & Configure <input type="checkbox"/> Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)
<input type="checkbox"/> NYSE American Options	<input type="checkbox"/> Firm Trades <input type="checkbox"/> Firm Sessions <input type="checkbox"/> Firm Risk Controls	<input type="checkbox"/> Download Only <input type="checkbox"/> Manage Trades <input type="checkbox"/> Risk Info - Download Only <input type="checkbox"/> Risk Desk - Download & Configure <input type="checkbox"/> Risk Officer - Download, Configure, Action (Kill Switch & Reinstate)
Authorization and Acceptance* *Signatory must be on file via the NYSE Pillar Trade Ops Portal - Authorized Administrators form available here: https://www.nyse.com/publicdocs/nyse/NYSE_Pillar_Trade_Ops_Portal_Authorized_Administrators.pdf		
<div style="display: flex; justify-content: space-between;"> <div> By (Signature) _____ Print Name: _____ Title: _____ Phone: _____ </div> <div> Date: _____ Email: _____ </div> </div>		

Completed Form should be returned via email to crs@nyse.com.