NYSE Pillar Trade Ops Portal
Equities User Request and Authorization

Authorization

Firm acknowledges and agrees that its use of the NYSE Pillar Trade Ops Portal, available at https://www.nyse.com/pillar/trade-ops-portal, (the “tool”) is ;(1) governed by the Terms of Use available at https://www.ice.com/publicdocs/nyse/NYSE_Online_Tools_Terms_of_Use.pdf and that it must review and accept these Terms of Use before accessing the tool; (2) the individual listed below may access and use the tool on behalf of Firm (a “User”); (3) if a User has left the Firm or is no longer authorized to use the tool, the Firm must immediately notify crs@nyse.com; and (4) Firm is responsible for the acts of User.

General Information:

Firm Name: ______________________________

Business Address: ______________________________

City: ______________ State: __________ Zip: ______

Business Phone: ______________ CRD #: ______________

User Information:

First/Last Name: ______________________________

Global ID (Email Address): ______________________________

User Type (Choose One): ☐ **Read Only** ☐ **User (Read / Modify)**

Firm Type (Choose One):

☐ Entering Firm	By default, all MPIDs are assigned. If only specific MPIDs are required, please specify:	______________________
☐ Floor Broker	Firm Level. By default, all identifiers included.	
☐ Clearing Firm	By default, all NSCC#s are assigned. If only specific NSCC#s are required, please specify:	______________________

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Access

Please select each market the above User should have access to:

☐ NYSE Arca Equities ☐ NYSE American Equities ☐ NYSE

☐ NYSE National ☐ NYSE Texas

Please select each module the above User should have access to:

☐ Firm Sessions ☐ Trades Viewer ☐ Volumes Viewer

☐ Pre-Trade Risk Controls

All users will be provided with Auction Imbalance information for applicable markets.

Pre-Trade Risk Controls (Only required if Pre-Trade Risk Controls module selected above.)

Risk User Permissions (Choose One):

☐ View Only ☐ Risk Desk - View & Configure ☐ Risk Officer - View, Configure, Action (Kill Switch & Reinstate)

Authorization and Acceptance*

***Signatory must be on file via the NYSE Pillar Trade Ops Portal - Authorized Administrators form available here: https://www.nyse.com/publicdocs/nyse/NYSE_Pillar_Trade_Ops_Portal_Authorized_Administrators.pdf**

By (Signature) ______________________ Date: ______________

Print Name: ______________________

Title: ______________________

Phone: ______________________ Email: ______________

Completed Form should be returned via email to crs@nyse.com.