

NYSE Pillar Trade Ops Portal

Options User Request and Authorization

Authorization	
Firm acknowledges and agrees that its use of the NYSE Pillar Trade Ops Portal, available at https://www.nyse.com/pillar/trade-ops-portal , (the "tool") is ;(1) governed by the Terms of Use available at https://www.ice.com/publicdocs/nyse/NYSE_Online_Tools_Terms_of_Use.pdf and that it must review and accept these Terms of Use before accessing the tool; (2) the individual listed below may access and use the tool on behalf of Firm (a "User"); (3) if a User has left the Firm or is no longer authorized to use the tool, the Firm must immediately notify crs@nyse.com ; and (4) Firm is responsible for the acts of User.	
General Information:	
Firm Name:	<input style="width: 90%;" type="text"/>
Business Address:	<input style="width: 90%;" type="text"/>
City:	<input style="width: 30%;" type="text"/> State: <input style="width: 20%;" type="text"/> Zip: <input style="width: 30%;" type="text"/>
Business Phone:	CRD #: <input style="width: 40%;" type="text"/>
User Information:	
First/Last Name:	<input style="width: 90%;" type="text"/>
Global ID (Email Address):	<input style="width: 90%;" type="text"/>
User Type (Choose One):	<input type="checkbox"/> Read Only <input type="checkbox"/> User (Read / Modify)
Firm Type (Choose One):	
<input type="checkbox"/> Entering Firm	By default, all MPIDs are assigned. If only specific MPIDs are required, please specify: <input style="width: 80%;" type="text"/>
<input type="checkbox"/> Market Maker	By default, all MMIDs are assigned. If only specific MMIDs are required, please specify: <input style="width: 80%;" type="text"/>
<input type="checkbox"/> 3 rd Party Trade Checker (Risk Controls Not Available)	By default, all MPIDs are assigned. If only specific MPIDs are required, please specify: <input style="width: 80%;" type="text"/>
<input type="checkbox"/> Floor Broker	Firm Level. By default, all identifiers included.
<input type="checkbox"/> Clearing Firm (Risk Controls Not Available)	By default, all OCC#s are assigned. If only specific OCC#s are required, please specify: <input style="width: 80%;" type="text"/>

NYSE Pillar Trade Ops Portal Options User Request and Authorization

Access	
Please select each market the above User should have access to:	
<input type="checkbox"/> NYSE American Options	<input type="checkbox"/> NYSE Arca Options
Please select each module the above User should have access to:	
<input type="checkbox"/> Firm Sessions	<input type="checkbox"/> Trades Viewer
<input type="checkbox"/> Risk Controls (Complete Section Below)	
Risk Controls (Only required if Risk Controls module selected above.)	
Risk User Permissions (Choose One):	
<input type="checkbox"/> View Only	<input type="checkbox"/> Risk Desk - View & Configure
<input type="checkbox"/> Risk Officer - View, Configure, Action (Kill Switch & Reinstate)	
Authorization and Acceptance*	
*Signatory must be on file via the NYSE Pillar Trade Ops Portal - Authorized Administrators form available here: https://www.nyse.com/publicdocs/nyse/NYSE_Pillar_Trade_Ops_Portal_Authorized_Administrators.pdf	
By (Signature) _____	Date: _____
Print Name: _____	
Title: _____	
Phone: _____	Email: _____

Completed Forms should be returned via email to crs@nyse.com.