

NYSE Equities MFT Request Form for File Pick-up

Instructions

Please complete this form to receive data files via the NYSE Managed File Transfer (MFT) and return it to CustomerOutput@NYSE.com

Process:

- Information Security add IP address(es) to the allowed access list and creates login credentials
- Data Architects create requested file(s), creates automated job transfer, promotes to QA environment
- QA complete; internal approvals; files and jobs promoted to production
- Note for the naming conventions of the files: EF = Entering Firm CF = Clearing Firm BF = Broker Firm MMR = Market Maker Report

Available Report Information

Market/Product	Report Requested	File Naming Convention	Delivery Time
NYSE	☐ Agency Output CRD	XNYS_AGENCY_BF_<CRD>_<MFTid>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Agency Output by Agency	XNYS_AGENCY_BF_<AGENCY>_<MFTid>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Badge Output CRD	XNYS_BADGE_BF_<CRD>_<MFTid>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Badge Output by Badge	XNYS_BADGE_BF_<Badge>_<MFTid>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Firm Output CRD	XNYS_FIRM_EF_<CRD>_<MFTid>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Firm Output MPID	XNYS_FIRM_EF_<MPID>_<MFTid>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Pillar Execution EF CRD	XNYS_EXECUTIONS_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Pillar Execution EF MPID	XNYS_EXECUTIONS_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Pillar Execution CF CRD	XNYS_EXECUTIONS_CF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Pillar Execution CF CLR#	XNYS_EXECUTIONS_CF_<CLR#>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ MM Active Symbol List	XNYS_MMR_ACTIVE_SYMBOLS_MM_<CRD>_<MFTID>_YYYYMMDD_V1.dat.gz	After 11 PM ET
	☐ MM Daily MMR	XNYS_MMR_DLY_MM_<CRD>_<MFTID>_YYYYMMDD_V1.dat.gz	After 2 AM ET
	☐ MM MTD MMR	XNYS_MMR_MTD_MM_<CRD>_<MFTID>_YYYYMMDD_V1.dat.gz	After 2 AM ET
	☐ DMM Output	XNYS_DMM_<MPID>_<MFTID>_YYYYMMDD.dat.gz	After 2 AM ET
	☐ DMM Daily MMR	MMR_DLY_DMM_XNYS_<CRD>_YYYYMMDD.csv	After 2 AM ET
	☐ DMM MTD MMR	MMR_MTD_DMM_XNYS_<CRD>_YYYYMMDD.csv	After 2 AM ET
	☐ SLP / SLMM Symbol Report	NYSE_SLP_<CRD>_active_symbols_YYYYMMDD.cvs	After 2 AM ET
	☐ SLP / SLMM MTD	NYSE_SLP_MTD_<CRD>_YYYYMMDD.csv	After 2 AM ET
	☐ SLP / SLMM Quoting	NYSE_SLP_Quoting_<CRD>_YYYYMMDD.csv	After 2 AM ET
	☐ SLP / SLMM Trading	NYSE_SLP_Trading_<CRD>_YYYYMMDD.csv	After 2 AM ET
	☐ Broker Risk Output CRD	XNYS_RISK_BF_<CRD>_<MFTid>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Broker Risk Output Badge	XNYS_RISK_BF_<Badge>_<MFTid>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk CRD	XNYS_PTR_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk MPID	XNYS_PTR_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk Clearing Firm	XNYS_PTR_CF_<Clearing#>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk Broker Firm	XNYS_PTR_BF_<Broker>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Start of Day Session Config	XNYS_Session_Defaults_SOD_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Equities Customer Tier	XNYS_CUST_TIER_EF_<CRD#>_<MFTID>_YYYYMMDD_Vx.dat.gz	T+1 after 7 a.m ET

Available Report Information - Continued			
Market/Product	Report Requested	File Naming Convention	Delivery Time
NYSE American	☐ Orders MPID	XASE_ORDERS_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Orders CRD	XASE_ORDERS_EF_CRD_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Executions MPID	XASE_EXECUTIONS_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Executions CRD	XASE_EXECUTIONS_EF_CRD_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ eDMM Billing	MPID_dly_MMDDYYY.txt	After 11 PM ET
	☐ MM Active Symbol List	MMR_ACTIVE_SYMBOLS_NASE_<CRD>_MMDDYYYY.txt	After 11 PM ET
	☐ MM Daily MMR	MMR_DLY_NASE_<CRD>_YYYYMMDD.csv	After 2 AM ET
	☐ MM MTD MMR	MMR_MTD_NASE_<CRD>_YYYYMMDD.csv	After 2 AM ET
	☐ Pre Trade Risk CRD	XASE_PTR_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk MPID	XASE_PTR_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk Clearing Firm	XASE_PTR_CF_<Clearing#>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Start of Day Session Config	XASE_Session_Defaults_SOD_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Equities Customer Tier	XASE_CUST_TIER_EF_<CRD#>_<MFTID>_YYYYMMDD_Vx.dat.gz	T+1 after 7 a.m ET
NYSE Arca	☐ Orders MPID	ARCX_ORDERS_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Orders CRD	ARCX_ORDERS_EF_CRD_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Executions MPID	ARCX_EXECUTIONS_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Executions CRD	ARCX_EXECUTIONS_EF_CRD_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ MM Report Card DLY	MMR_DLY_ARCX_FIRMCRD#_YYYYMMDD.CSV	After 1 AM ET
	☐ MM Report Card MTD	MMR_MTD_ARCX_FIRMCRD#_YYYYMMDD.CSV	After 1 AM ET
	☐ MM Symbol List	MMR_ACTIVE_SYMBOLS_ARCX_FIRMCRD#_YYYYMMDD.CSV	After 1 AM ET
	☐ LMM Monthly Summary	MMRC_SUMM_FIRMCRD#_YYYYMMDD.CSV	After 1 AM ET
	☐ Pre Trade Risk CRD	ARCX_PTR_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk MPID	ARCX_PTR_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk Clearing Firm	ARCX_PTR_CF_<Clearing#>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Start of Day Session Config	ARCX_Session_Defaults_SOD_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Equities Customer Tier	ARCX_CUST_TIER_EF_<CRD#>_<MFTID>_YYYYMMDD_Vx.dat.gz	T+1 after 7 a.m ET
NYSE National	☐ Orders MPID	XCIS_ORDERS_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Orders CRD	XCIS_ORDERS_EF_CRD_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Executions MPID	XCIS_EXECUTIONS_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Executions CRD	XCIS_EXECUTIONS_EF_CRD_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ MM Active Symbol List	MMR_ACTIVE_SYMBOLS_XCIS_<CRD>_YYYYMMDD.csv	After 1 AM ET
	☐ MM Report Card DLY	MMR_DLY_XCIS_FIRMCRD#_YYYYMMDD.CSV	After 1 AM ET
	☐ MM Report Card MTD	MMR_MTD_XCIS_FIRMCRD#_YYYYMMDD.CSV	After 1 AM ET
	☐ Pre Trade Risk CRD	XCIS_PTR_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk MPID	XCIS_PTR_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk Clearing Firm	XCIS_PTR_EF_<Clearing#>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Start of Day Session Config	XCIS_Session_Defaults_SOD_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Equities Customer Tier	XCIS_CUST_TIER_EF_<CRD#>_<MFTID>_YYYYMMDD_Vx.dat.gz	T+1 after 7 a.m ET

Available Report Information - Continued			
Market/Product	Report Requested	File Naming Convention	Delivery Time
NYSE Texas	☐ IB Output MPID	XCHI_IB_BF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ IB Output CRD	XCHI_IB_BF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Firm Output MPID	XCHI_FIRM_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Firm Output CRD	XCHI_FIRM_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Execution EF CRD	XCHI_EXECUTIONS_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Execution EF MPID	XCHI_EXECUTIONS_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Execution CF CRD	XCHI_EXECUTIONS_CF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Execution CF CLR#	XCHI_EXECUTIONS_CF_<CLR#>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Broker Trade All MPIDs IB	XCHI_PTDetail_BF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Broker Trade All CF # for CF	XCHI_PTDetail_CF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Broker Trade All MPID EF	XCHI_PTDetail_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Broker Trade single MPID IB	XCHI_PTDetail_BF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Broker Trade single CF#	XCHI_PTDetail_CF_<Clearing#>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Broker Trade per MPID EF	XCHI_PTDetail_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 11 PM ET
	☐ Pre Trade Risk CRD	XCHI_PTR_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk MPID	XCHI_PTR_EF_<MPID>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Pre Trade Risk Clearing Firm	XCHI_PTR_CF_<Clearing#>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
	☐ Start of Day Session Config	XCHI_Session_Defaults_SOD_EF_<CRD>_<MFTID>_YYYYMMDD_Vx.dat.gz	After 2 AM ET
NYSE TRF	☐ TRF EOD Journal	trf_eodj_MPID_YYMMDD.csv	After 11:30 PM ET
	☐ Possible Trade Through	NYTRF_PossTradeThrus_MPID_YYYYMMD.csv	After 11:30 PM ET
	☐ Possible SSR Violations	NYTRF_PossSSRViolations MPID_YYYYMMDD.csv	After 11:30 PM ET
	☐ LULD Reject Report	NYTRF_LULD Rejects MPID_YYYYMMDD.csv	After 11:30 PM ET
NYSE Bonds	☐ Bonds GTC	gtc_bonds_FIRMNAME_YYYYMMDD.dat.MD5	After 11:30 PM ET

NYSE Equities MFT Request Form

Client Information

Firm Name: _____ CRD#: _____

Business Contact: _____

Email: _____ Phone: _____

Technical Contact: _____

Email: _____ Phone: _____

Notification Email Address for file changes or issues: _____

If you have chosen MPID specific reports please list the MPIDs you require: _____

If you are a clearing firm and have chosen clearing number specific reports please list the clearing numbers you require: _____

NYSE MFT Technical Information

MFT Internet:

<https://mft.nyse.com/web/>

159.125.78.80

MFT SFTI:

<https://mftportal.sfti.nyse.com/web>

192.159.81.6

You can also access your NYSE MFT Account by using the FTP Client FileZilla if your company policy allows it.

<https://filezilla-project.org/> and download the FileZilla Client.

host: mft.nyse.com

Username: <MFT_Account>

Password: <MFT_Password>

Port: 22

Then click QuickConnect!

Requests for passwords should be sent to support@nyse.com. Please provide your MFT account on the email that you send.

SSH Public Key file can also be sent to support@nyse.com, please attach your SSH public key in a .txt file format.

IP Information

Please supply incoming server and Static IP information to be allowed access with NYSE Information Security:

Incoming Public Static IP Address(es): _____

Note: You can go to www.theice.com/showip to get your external IP address or google "what is my IP" on the machine